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ATTORNEYS FOR THE DUGABOY INVESTMENT TRUST

IN THE UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

In re:	§	Case No. 19-34054
HIGHLAND CAPITAL MANAGEMENT, L.P.	§ 8	Chapter 11
HIGHLAND CAI HAL MANAGEMENT, L.I.	8	Chapter 11
Debtor.	8 §	
HIGHLAND CAPITAL MANAGEMENT, L.P.,	§	
	§	
Plaintiff.	§	
	§	
v.	§	
	§	Adversary No.: 21-03006-sgj
HIGHLAND CAPITAL MANAGEMENT	§	
SERVICES, INC., JAMES DONDERO, NANCY	§	
DONDERO, AND THE DUGABOY	§	
INVESTMENT TRUST,	§	
	§	
Defendants.	§	

AMENDED DESIGNATION OF RECORD PURSUANT TO FED. R. BANKR. P. 8009

Pursuant to Fed. R. Bankr. P. 8009, James Dondero, Nancy Dondero and The Dugaboy Investment Trust ("Appellants") by and through undersigned counsel, hereby submit this amended designation of the record on appeal of the Memorandum Opinion and Order Denying Arbitration Request and Related Relief [Dkt. No. 115]:

- 1. Notice of Appeal filed on December 16, 2021 [Dkt. No. 127]
- 2. *Memorandum Opinion and Order Denying Arbitration Request and Related Relief* [Dkt. No. 115], entered on December 3, 2021.
- 3. Docket entries kept by the bankruptcy clerk in Adversary No. 21-03006-sgj
- 4. Docket entries kept by the bankruptcy clerk in the main bankruptcy case, Case No. 19-34054.
- 5. Each of the additional documents and items designated below:

Designation No.	Filing Date	Dkt.	Description
	Documents file	d in Main	Bankruptcy Case- Case No. 19-34054
1	12/27/19	281	281 (100 pgs; 4 docs) Motion to compromise controversy with Official Committee of Unsecured Creditors. Filed by Debtor Highland Capital Management, L.P. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Proposed Order) (Hayward, Melissa)
2	1/9/20	339	(5 pgs) Order Approve Settlement with Official Committee of Unsecured Creditors Regarding Governance of the Debtor and Procedures for Operations in the Ordinary Course (related document # 281) Entered on 1/9/2020. (Okafor, M.)
3	6/23/20	774	(33 pgs) Application to employ James P. Seery, Jr. as Other Professional Debtors Motion Under Bankruptcy Code Sections 105(a) and 363(b) for Authorization to Retain James P. Seery, Jr., as Chief Executive Officer, Chief Restructuring Officer and Foreign Representative Nunc Pro Tunc to March 15, 2020 Filed by Debtor Highland Capital Management, L.P. (Annable, Zachery)
4	7/16/20	854	(12 pgs) Order granting application to employ James P. Seery, Jr. as Chief Executive Officer, Chief Restructuring Officer and Foreign representative (related document 774) Entered on 7/16/2020. (Ecker, C.) Modified on 7/16/2020 (Ecker, C.).
5	11/24/20	1472	Debtor's Fifth Amended Plan of Reorganization
6	11/24/20	1473	Disclosure Statement for Fifth Amended Plan of Reorganization
7	11/24/20	1476	Order Approving Disclosure Statement
8	1/11/21	1719	Debtor's Second Notice of Executory Contracts to be Assumed by the Debtor

Designation	Filing Date	Dkt.	Description
No.	1/20/01	1504	
9	1/20/21	1784	Dondero Objection to Assumption and Cure of Executory Contract
10	1/21/21	1791	Debtor's Withdrawal of Assumption of Executory Contracts
11	1/22/21	1808	Debtor's Fifth Amended Plan of Reorganization
			(as modified)
12	1/22/21	1809	Redline of Fifth Amended Plan of Reorganization (as modified)
13	2/22/21	1943	Order Confirming Fifth Amended Plan of
			Reorganization
	Documents file	d in Adve	rsary Proceeding - Case No. 21-03006
1	09/01/2021	70	Motion to Compel Arbitration and Stay Litigation
2	09/01/2021	71	Appendix in Support of Defendants' Motion to
	09/01/2021	, 1	Compel Arbitration and Stay Litigation
3	09/01/2021	74	Motion to Compel Arbitration and Stay Litigation
4	09/15/2021	78	Notice of Hearing
5	09/28/2021	81	Debtor's Objection to Motion to Compel Arbitration
			and Stay Litigation
6	09/28/2021	82	Debtor's Brief in Support of Its Objection to Motion to Compel Arbitration and Stay Litigation
7	09/28/2021	83	Declaration of John A. Morris in Support of Debtor's Objection to Motion to Compel Arbitration and Stay Litigation
8	09/28/2021	83-1	Exhibit A to Declaration of John A. Morris in Support of Debtor's Objection to Motion to Compel Arbitration and Stay Litigation
9	09/28/2021	83-2	Exhibit B to Declaration of John A. Morris in Support of Debtor's Objection to Motion to Compel Arbitration and Stay Litigation
10	09/28/2021	83-3	Exhibit C to Declaration of John A. Morris in Support of Debtor's Objection to Motion to Compel Arbitration and Stay Litigation
11	11/05/2021	93	Reply to Debtor's Objection to Motion to Compel Arbitration and Stay Litigation
12	11/05/2021	95	Defendants' Joint Witness and Exhibit List
13	11/05/2021	95-1	Exhibit 1 to Defendants' Joint Witness and Exhibit
			List
14	11/05/2021	95-2	Exhibit 2 to Defendants' Joint Witness and Exhibit List
15	11/05/2021	95-3	Exhibit 3 to Defendants' Joint Witness and Exhibit List
16	11/05/2021	95-4	Exhibit 4 to Defendants' Joint Witness and Exhibit List

Designation	Filing Date	Dkt.	Description
No.	11/05/2021	05.5	
17	11/05/2021	95-5	Exhibit 5 to Defendants' Joint Witness and Exhibit
			List
18	11/05/2021	95-6	Exhibit 6 to Defendants' Joint Witness and Exhibit
			List
19	11/05/2021	95-7	Exhibit 7 to Defendants' Joint Witness and Exhibit
			List
20	11/05/2021	95-8	Exhibit 8 to Defendants' Joint Witness and Exhibit
20	11/03/2021)5 0	List
21	11/05/2021	95-9	Exhibit 9 to Defendants' Joint Witness and Exhibit
21	11/05/2021	93-9	
			List
22	11/05/2021	95-10	Exhibit 10 to Defendants' Joint Witness and Exhibit
			List
23	11/05/2021	95-11	Exhibit 11 to Defendants' Joint Witness and Exhibit
			List
24	11/05/2021	95-12	Exhibit 12 to Defendants' Joint Witness and Exhibit
			List
25	11/05/2021	95-13	Exhibit 13 to Defendants' Joint Witness and Exhibit
23	11/03/2021	75 15	List
26	11/05/2021	05 14	Exhibit 14 to Defendants' Joint Witness and Exhibit
20	11/05/2021	95-14	
	11/00/001	0.=	List
27	11/09/2021	97	Notice of Court Admitted Exhibits for Hearing Held
			November 9, 2021 Note: Defendants' admitted
			exhibits can be found at docket numbers 95-1
			through 95-14.

6. Each of the hearing transcripts designated below:

Designation No.	Filing Date	Dkt.	Description
28	11/16/2021	102	Transcript of Hearing Held November 9, 2021

STATEMENT OF ISSUES TO BE PRESENTED ON APPEAL

- 1. Whether the Bankruptcy Court erred in finding that the arbitration clause was an executory contract rejected pursuant to Bankruptcy Code Section 365.
- 2. Whether the Bankruptcy Court erred in finding that a company governance/formation document is an executory contract.

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3. Whether the Bankruptcy Court erred in finding that a debtor's purported rejection

of a contract containing an arbitration clause relieves Debtor of the duty to arbitrate.

4. Whether the Bankruptcy Court erred in finding that the arbitration clause should be

considered a separate executory agreement that was rejected.

5. Whether the Bankruptcy Court erred in finding that requiring arbitration in this case

would impose undue and unwarranted burdens and expenses on the parties to the detriment of

Highland's creditors.

6. Whether the Bankruptcy Court erred in finding that the Appellants have waived

any right to invoke the arbitration clause.

7. Whether the Bankruptcy Court erred in finding that the Appellants waived any right

to invoke the arbitration clause by not raising the subject of arbitration until after the counts giving

rise to the demand for arbitration, Counts V, VI, and VII, were added by the Debtor.

8. Whether the Bankruptcy Court erred in finding that seeking arbitration in the *first*

pleading after the filing of claims raising the right to seek arbitration is a "delay" in seeking

arbitration sufficient to justify a finding of waiver.

9. Whether the Bankruptcy Court erred in finding that the Appellants Dugaboy and

Nancy Dondero waived rights to invoke the arbitration clause by not raising the subject of

arbitration before they were added as parties to the Adversary Proceeding by the Debtor.

10. Whether the Bankruptcy Court erred in determining that it would not compel

arbitration.

Dated: January 5, 2022

Respectfully submitted,

/s/Deborah Deitsch-Perez

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CERTIFICATE OF SERVICE

I, the undersigned, hereby certify that, on January 5, 2022, a true and correct copy of the foregoing document was served via the Court's CM/ECF system on all parties registered to receive notice in this case.

/s/ Michael P. Aigen
Michael P. Aigen